

ILLINOIS REGISTER
ILLINOIS RACING BOARD
NOTICE OF ADOPTED RULES

PART 1413
ENTRIES, SUBSCRIPTIONS, AND DECLARATIONS

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Section 1413.10 Registration with Jockey Club

No horse shall be entered or permitted to start unless duly registered and named through The Registry Office of The Jockey Club (New York).

Section 1413.20 Registration Rules

The rules of The Jockey Club (New York) with respect to the registration of horses shall apply in Illinois.

Section 1413.30 Eligibility

A horse must be eligible to run at time of entry.

Section 1413.40 How Entries are Made

All entries shall be made on forms prescribed by the Board, and all information required on such forms shall be supplied.

Section 1413.42 Number of Entries

- a) A trainer may enter no more than two horses of the same or of separate ownership. When entering two horses of the same ownership, the owner or trainer must express a preference as to which horse will be entered if the number of entries received exceeds the number of horses permitted to start in the race. Two horses of the same ownership may not start to the exclusion of a single entry except when required by the conditions of the race. However, when a trainer enters two horses of separate ownership, for the purpose of eligibility to start, such horses shall be treated as if they were also entered by separate trainers.
- b) This Section shall not apply to stakes and handicap races.

Section 1413.44 48 or 72 Hour Entries

Any horse entered on the overnight entry list shall not be entered in any other race with a 48- or 72-hour entry box without permission of the stewards and shall not be entitled to preference in either of those races.

Section 1413.46 Also Eligibles Under 48 or 72 Hour Rule

Any horse entered on an overnight entry list as an also eligible may be entered in another race with a 48- or 72-hour entry box, and receive preference, provided a scratch is entered at the same time the entry into either entry box is made.

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Section 1413.48 Uncoupled Entries

All entries of separate ownership shall be uncoupled.

Section 1413.50 Racing Secretary Receives Entries

For all races, except sweepstakes, for which the closing time occurs immediately preceding or during the period of the race meeting, the racing secretary is the person authorized to receive entries and declarations. For races closing at other times, entries and declarations may be made to the racing secretary or general manager or other authorized executive of the race track operator.

Section 1413.60 Supervision of Entries

All entries and declarations for races closing immediately prior to or during the period of the race meeting are under the supervision of the stewards; and all other entries and declarations are under the supervision of the Board.

Section 1413.70 When Entries Close

Entries for all races shall not be closed earlier than the advertised time.

Section 1413.75 Limitation on Purse Increases or Reductions

- a) No purse for any race may be increased or reduced after the purse has been advertised, published or reflected in the conditions without prior approval of the Executive Director of the Board. If approved, the Racing Secretary shall promptly post the change in purse on the public bulletin board in the Racing Secretary's office for the inspection of owners, trainers and the public, and list it in the "overnight sheets".
- b) No purse for any race may be reduced after the first entry is made for any such race.

Section 1413.80 Closing in Absence of Conditions

In the absence of conditions of notice to the contrary, all entries to overnight races except handicaps are to be considered as closed not later than 2 p.m. of the day preceding the one on which the race is to be run.

Section 1413.90 Entry by Telegraph

Entries by telegraph are binding if promptly confirmed in writing, but in overnight races said confirmation can have force only in case the name of the horse so entered has been printed on the official program. Failure to confirm in writing a bonafide entry by telegraph may subject the offender to the penalty of being suspended, or fined, in the discretion of the stewards.

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Section 1413.100 List of Entries

The racing secretary shall, each day at the advertised closing time for filing entries, prepare a typewritten list to be designated "overnight entries" and immediately post the same on the public bulletin board in the racing secretary's office for the inspection of owners, trainers and the public. Said list of "overnight entries" shall become official 30 minutes after such posting. No change thereafter shall be made therein because of any omissions or inaccuracies except that weights may be corrected upward at any time when improper allowances have been claimed, and in cases where, in the opinion of the stewards, Section 1412.40 (11 Ill. Adm. Code 1412.40) may apply.

Section 1413.110 Limitations on Entries

All entries and declarations made in overnight races are under the supervision of the stewards, and they may, without notice, refuse the entries of any persons, or the transfer of any entries, and they may also in their discretion, limit entries by providing that no horse shall be entered for two races in any one day unless one is a sweepstakes. However, the owner, or trainer or authorized agent who enters the same horse in more than one race on the same day, must declare said horse out of all races, except one, by scratch time of the day said horse is so entered. In any overnight race where a person has entered more than one horse and there are horses on the also eligible list, the owner, trainer, or authorized agent must declare one horse out of said race by the regular scratch time or both horses must start in said race unless excused by the stewards for just reason.

Section 1413.114 Uncoupled Entries

All entries, either same owner or different owner, shall be uncoupled wagering interests in any race. Second part of same owner entries will have least preference in overfilled races. The date system will take preference in determining first and second parts of entries.

Section 1413.120 Riders Designated

At the time of making entry, the owner, trainer or authorized agent shall designate a jockey only if the jockey named is definitely engaged. If the jockey is not definitely engaged, then an entry shall be made without designating the jockey at the time of entry. Change of jockey designated may be made only by authorization of the stewards. Any violation of this rule will subject violators to fine or suspension.

Section 1413.130 Carding Purse and Handicap Races

All non-claiming races (with the exception of maiden races) and claiming races with a claiming value of \$20,000 or more, having six or more separate interests must be carded and run. However, if scratches reduce the number of interests in such a race to less than six, the association may run the race as a betless exhibition and card and run a substitute race for wagering purposes.

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Section 1413.134 Race Fails to Fill

If a race fails to fill and is declared off, the names of all the horses that were entered therein shall be made known to the state steward not later than 1 p.m. of the same day.

Section 1413.138 Substitute and Extra Races

- a) Whenever a posted race is canceled, the Racing Secretary shall first use the substitute races in the order listed in the Condition Book and then use the extra races in the order listed, except:
 - 1) when a feature or handicap race fails to fill the extra race that can be used as a feature race shall take precedence over the other substitute and extra races, or
 - 2) when an Illinois conceived and foaled or an Illinois foaled race fails to fill, the substitute Illinois conceived and foaled or Illinois foaled race shall be scheduled if possible, or
 - 3) when a posted Illinois conceived and foaled or an Illinois foaled race fills, the substitute or extra Illinois race need not be considered in making up other canceled races, or
 - 4) when a posted race is split, or
 - 5) when a substitute or extra race is to be used in the program as a race upon which trifecta wagering is offered.
- b) Nothing contained herein shall be deemed an exception to or modification of Rule B 9.6 (11 Ill. Adm. Code 409.60).

Section 1413.140 Right to Declare Out

- a) In purse races and overnight handicaps with eight or more interests, owners shall have the right to declare out to that number before the time stipulated by the regulations of the operator on the day of the race. When more than one owner expresses the desire to declare out, the right to declare out shall be determined by lot. Declarations below eight interests may only be made by permission of the Stewards when a note from a licensed veterinarian establishes a medical reason to excuse the horse from the race or when there is a request from the Racing Secretary to fill a different race. The also eligible shall have the preference to scratch over regularly carded horses.
- b) In purse races and overnight handicaps moved off the turf with more than eight interests, owners shall have the right to declare out to that number before the time stipulated by the regulations of the operator on the day of the race. When more than one owner expresses the desire to declare out, the

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right to declare out shall be determined by lot. Declarations below eight interests may only be made by permission of the Stewards.

- c) Horses may be scratched out of stake races not later than 45 minutes before post time of the race.

Section 1413.150 Number of Entries

A list of names not to exceed six may be drawn from the overflow entries and listed as eligible to start if originally carded horses are withdrawn. If an also eligible list is prepared, and any regularly carded horses have been excused from a race, a new drawing shall be taken from horses on the also eligible list and order of eligibility and post position shall be determined by the sequence in which they are drawn. If the conditions of a stakes race specify otherwise, those conditions shall govern and this rule shall not apply.

Section 1413.160 Fee to Enter

The entrance to a purse race shall be free, unless otherwise stipulated in its conditions. If the conditions require an entrance fee, it must accompany the entry, except for fee handicaps, when it must be paid at the time of acceptance of the weight allotted.

Section 1413.170 Refunds

Entrance money is not refunded on the death of a horse, or his failure to start.

Section 1413.180 Error in Entry

A person making a wrong entry or nomination is liable for stakes and forfeits under that nomination.

Section 1413.190 Irrevocable Declaration

Declaring a horse out of a race is irrevocable.

Section 1413.200 Notice of Declaration

Until the owner or his authorized agent has given notice to the proper authority either in writing or by telegram, promptly confirmed in writing, no horse shall be considered as struck out of any of his engagements.

Section 1413.210 Entry of Unfit Horse

The owner or trainer of any horse, before making the entry of such horse in any overnight race, must have said horse in condition to race, and if for any reason, said horse is not in such condition, it must be reported to the stewards and secretary.

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Section 1413.220 Refusal for Inconsistency

The stewards shall have the authority to order the refusal of entry of any horse or all of the entries of any owner because of inconsistent running of any horse or horses, and the stewards are empowered to make any investigation, formal or informal, to come to a determination and decision in respect thereto. Any such ruling shall be immediately reported, in writing, to the Board by said stewards.

Section 1413.230 Horse Ineligible

- a) No horse is qualified to run in any race unless he is duly entered for that race.
- b) No disqualified horse shall be entered for a race.
- c) No disqualified person shall enter a horse in a race.
- d) In case of husband and wife, no entry shall be received from husband or wife while either is disqualified. Husband and wife, unless legally separated, shall be considered a single entity. Any ruling which applies to one shall apply equally to the other. This rule shall not apply in the case of a spouse suspended for a riding infraction or in such other cases, in the discretion of the stewards.
- e) The burden of proof of qualifications rests upon the individual in whose name the horse is entered.
- f) No horse on the starter's schooling list shall be entered for a race.
- g) No horse on the state veterinarian's list (see Rule #51A) (11 Ill. Adm. Code Section 1403.63) or the steward's list (see Rule #34B) (11 Ill. Adm. Code 1402.165) shall be entered for a race.
- h) No horse shall be started unless he has had a race or an officially clocked workout within the previous 30 days.
- i) A first time starter shall not have less than three officially observed and clocked workouts.
- j) A horse is ineligible if it is not qualified to participate in a specified race under the rules and conditions of that race.

Section 1413.240 Who May Enter

No person not having an interest in a horse, equal at least to the interest or property of any other one person is entitled to enter in a race as the owner.

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Section 1413.250 Medical Reasons for Ineligibility

A trainer or owner shall not enter or start, or cause to be entered or started, a horse that:

- a) is not in serviceable, sound racing condition;
- b) has an active tracheostomy;
- c) has been nerved or had cryosurgery performed on a nerve; except that horses that have had a neurectomy or cryosurgery performed on the posterior digital nerve below, and not at, the fetlock of one or more feet may be permitted to race;
- d) is blind or whose vision is seriously impaired in both eyes. A horse blind in one eye may start only if the other eye has normal vision;
- e) does not comply with the rules regarding Coggins tests (see 11 Ill. Adm. Code 1309, 1313, 1424 and 1431).

Section 1413.260 Sweepstakes Entries

Any entry of a horse in a sweepstakes is a subscription to the sweepstakes and the subscriber is liable for stake or forfeit, but should he transfer the entry, he is liable only in case of default of the transferee. Similarly, the vendor of a horse with engagements is liable for stake or forfeit if the engagements are not kept.

Section 1413.265 Receipt for Nomination

It shall be the responsibility of the nominator to any stake or handicap race to confirm telephone nominations or entries with written or faxed copies of the same. No appeal or dispute regarding lost nominations or errors shall be entertained by the stewards without such documented proof.

Section 1413.270 Previous Engagements

If a horse is sold by private sale, or at public auction, the written acknowledgment of both parties is necessary to prove the fact that he was sold with his engagements, but when a horse is sold or claimed out of a claiming race, the horse's engagements are included unless the advertised conditions of the race state specifically to the contrary. A sale, under any circumstances, to a person ruled off, or to a disqualified person, shall have the effect of a declaration of forfeit as if made by the vendor on the date of sale.

Section 1413.280 Transfer of Engagements

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Subscriptions and all entries or rights of entry are valid when a horse is sold with his engagements duly transferred; in duly registered partnerships when subscriptions, entries and rights of entries survive in the remaining partners; and when entries under the decedent's subscription have been made previous to the decedent's death by the transfer of the right of entry. No entry, subscriptions, or right of entry under it shall become void on the death of the nominator or subscriber, the engagement or engagements made for the horse following him unless the conditions of the race or races for which he was entered stated otherwise.

Section 1413.290 Transfer of Sweepstakes Engagements

Subscribers to sweepstakes may, before the time fixed for naming, transfer the subscription, but a person making an entry under another subscription when forfeit must be declared by a particular time, shall be considered as having taken the engagement upon himself, and it shall be transferred to his name. Also before the time fixed for closing an entry of a horse in any race may be corrected or another horse may be substituted but a subscription cannot be withdrawn.

Section 1413.300 Jockey Club Certificates

No horse shall be allowed to start unless his Jockey Club Registration Certificate is filed in the office of the racing secretary, with the exception that the stewards may, at their discretion, waive this requirement, if horses are shipped in for sweepstakes, or have been lip tattooed, and otherwise are properly identified.

Section 1413.305 Transfer of Jockey Club Certificate

Commencing with foals of 1960, each racing secretary shall require that all transfers of title be properly recorded in the spaces provided on the reverse side of The Jockey Club Registration Certificate. Any transfers not so recorded will not be recognized and the thoroughbred will be ineligible to start, except that the stewards of the meeting may excuse any horse from this requirement for cause, permitting it to start and providing an opportunity for the owner to meet this requirement.

Section 1413.310 Number of Races in a Day

No horse may be entered for two races in any one day unless one is a sweepstake and in such cases, it must be declared out of one of the races by regular scratch time.

SOURCE: Published in Rules and Regulations of Horse Racing, (original date not cited in publication); passed July 11, 1972; amended April 11, 1974, filed and effective April 30, 1974; passed June 11, 1974 amended July 12, 1974, filed July 22, 1974; amended August 13, 1974, filed August 19, 1974; amended August 15, 1975, filed August 20, 1975; amended

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September 19, 1975, filed October 2, 1975; amended June 19, 1976; amended July 16, 1976, filed July 23, 1976; amended August 21, 1976, filed August 30, 1976; amended April 26, 1977, filed May 6, 1977; amended 4 Ill. Reg. 9, 0.251, effective February 20, 1980; amended at 5 Ill. Reg. 8911, effective August 25, 1981; codified at 5 Ill. Reg. 10981; amended at 15 Ill. Reg. 2730, effective February 5, 1991; amended at 17 Ill. Reg. 1628, effective January 26, 1993; amended at 17 Ill. Reg. 21848, effective December 3, 1993; amended at 18 Ill. Reg. 11612, effective July 7, 1994; amended at 18 Ill. Reg. 17749, effective November 28, 1994; amended at 24 Ill. Reg. 7394, effective May 1, 2000; amended at 24 Ill. Reg. 12725, effective August 1, 2000; amended at 25 Ill. Reg. 178, effective January 1, 2001; amended at 25 Ill. Reg. 15608, effective December 1, 2001; amended at 26 Ill. Reg. 12367, effective August 1, 2002; amended at 31 Ill. Reg. 8530, effective June 1, 2007; amended at 32 Ill. Reg. 10165, effective July 1, 2008; amended by emergency rulemaking at 35 Ill. Reg. 6605, effective April 4, 2011, for a maximum of 150 days; amended at 35 Ill. Reg., effective July 28, 2011; amended at 36 Ill. Reg. 16344, effective November 1, 2012; amended by emergency rulemaking at 39 Ill. Reg. _____, effective February 20, 2015, for a maximum of 150 days.