ILLINOIS RACING BOARD NOTCIE OF ADOPTED RULES

TITLE 11: ALCOHOL, HORSE RACING, LOTTERY, AND VIDEO GAMING SUBTITLE B: HORSE RACING CHAPTER I: ILLINOIS RACING BOARD SUBCHAPTER f: RULES AND REGULATIONS OF HARNESS RACING

PART 1313 GENERAL LICENSEE RULES

Section	
1313.10	Worker's Compensation (Repealed)
1313.20	Health Regulations (Repealed)
1313.30	Observe Sanitary, Safety, Humane Rules (Repealed)
1313.40	Halters
1313.48	Safety Helmets
1313.50	Equipment Change and Records
1313.60	Sulky Performance Standards
1313.70	Horses in Paddock
1313.80	Body Alcohol Testing
1313.90	Deceased and Sick Horses (Repealed)
1313.100	Firearms
1313.110	Private Practice Prohibited
1313.120	Veterinarian Reports (Repealed)
1313.130	Clean Equipment (Repealed)

Section 1313.40 Halters

All horses shall be haltered while in the stall.

Section 1313.48 Safety Helmets

- a) No occupational licensee shall jog, warm-up, or drive a horse on a racetrack facility during a licensed meet unless the licensee is wearing a protective safety helmet, with a chin strap in place, that has been approved by the Illinois Racing Board (Board). The Board, in its approval, shall give consideration to such criteria as the following:
 - 1) Performance characteristics--The helmet shall consist of a durable smooth shell lined with energy absorbing material that can withstand shock to the head during impact. The helmet shall have a suspension system that maintains the position of the helmet on the licensee's head with nape strap which fits behind the head to secure the helmet to the head; mandibular pads/straps which encircle the ears and to which the chin strap attachments are anchored; and chin cup/strap which is shaped to fit over the chin.

ILLINOIS RACING BOARD NOTCIE OF ADOPTED RULES

- 2) Finished surface of assembled helmet--The assembled helmet shall be of a smooth and rounded external surface without any reinforcing ridges or other external projections protruding from the helmet.
- 3) Ventilation--The helmet may have ventilation holes but the holes shall not be greater than 13 millimeters in diameter.
- 4) Materials--The helmet shall be made of materials that can withstand exposure to typical weather conditions, and the effects of contact with hair and sweat. The helmet shall not be of the type that can cause skin irritation or disease when the helmet comes into contact with the skin.
- 5) Vision--The helmet shall provide the peripheral visual clearance of 110 degrees.
- 6) Hearing Ability--The helmet shall not restrict the hearing ability of the individuals wearing the helmet with sound absorbing material.
- 7) Any other factor that will affect the performance characteristics of the helmet shall be considered by the Board.
- b) The emphasis of the Board, in its approval, shall be upon performance characteristics, rather than construction and materials, except for determining whether vision and hearing are impaired.
- c) The Board may give consideration to any performance tests of helmets conducted by consumer groups or other safety helmet testing associations but the results of such tests shall not be determinative or conclusive upon the Board.
- d) In any accident, the safety helmet shall be impounded by the stewards for use in their inquiry of the accident.
- e) The Board does not conduct actual testing of helmets. The Board, therefore, disclaims any liability for the use of any helmet that fails to protect an individual from personal injury.

Section 1313.50 Equipment Change and Records

The race track operator shall require owners and agents of all horses, before starting in a race conducted by the Illinois Racing Board to register, with the paddock judge of the race track operator, the regular racing equipment to be worn by the horse to be raced. Copies of

ILLINOIS RACING BOARD NOTCIE OF ADOPTED RULES

the same shall be forwarded to the presiding judge and the Board. Any changes or alterations in this equipment must be approved by the judges.

Section 1313.60 Sulky Performance Standards

- a) All styles, types and models of racing sulkies shall pass all performance and testing standards as established in this Part before approval for use is granted. Testing shall include Static Load Testing, Dynamic Load Testing and Track Testing.
- b) The sulky shall not create either by design or manufacture any interference or hazard to any driver or horse in a race.
 - 1) All components of the sulky shall be attached to one another in such a way that they remain attached during normal use and testing.
 - 2) No bent shaft style sulky shall be approved.
- c) Each sulky shall be equipped with two shafts that are attached independent of one another to the horse. Inside to inside measurements shall be within a range of 42" to 50" at the front of the arch. All shafts shall be equipped with quick-hitch fixtures or attachable by conventional tie-downs. All quick-hitches shall have safety straps.
- d) The style of arch must be no narrower than 47" or wider than 56" in distance measuring from the inside of each side of the arch at the axle nuts.
 - 1) The front of the arch to the center line of the harness where a horse is hitched shall be no greater than 76" as measured along the shaft.
 - 2) The distance from the front of the arch to the back of the seat shall be no greater than 19".
 - 3) The distance from the ground to the bottom of the arch shall be between 28" and 35" measured with the wheels attached.
 - 4) The arch shall be parallel to the ground and located a minimum of 1" higher than the tire at all points.
- e) Inside measurements between the inside fork assemblies shall be 6" greater than the inside measurements between the shafts as measured at the front of the arch (e.g., shafts 40"/inside forks 46", shafts 46"/inside forks 52"). Fork measurements shall be taken from the inside of each side of the arch at the axle nut. There shall be a fork assembly on both sides of each wheel.

ILLINOIS RACING BOARD NOTCIE OF ADOPTED RULES

- f) Each sulky shall be equipped with two stirrups. Each stirrup shall not be more than 8" wide. The stirrups shall be attached to the inside of each shaft no closer than 30" from stirrup to stirrup.
- g) The measurement from the ground to the heel of the stirrup and ground seat plate shall have a spread of no more than 6" as measured with the bike hitched at 54".
 - 1) The seat plate shall be no lower than 1" below the arch.
 - 2) The seat shall be securely attached to the seat bracket in a fixed position.
 - 3) The back of the seat shall be no higher than 4". No high back seats shall be approved.
 - 4) All seats shall have adequate padding to provide comfort for the driver.
- h) Each sulky shall contain two wheels. The wheels shall be 26" to 28" with tire attached. All wheels shall be covered by wheel disc covers constructed in such a manner so that they are light weight and durable. Wheel discs shall be either unicolor or colorless.
- i) The mud fenders shall be easily attached to the sulky in such a manner as to make them totally secure to the sulky.
- j) The sulky must be attached to either side of the horse by an approved method with each shaft hooked separately on each side. The forward ends of the sulky shaft shall not project beyond the shoulder of the horse. The shafts shall not be higher than the withers of the horse.

Section 1313.70 Horses in Paddock

a) All horses must be in the paddock, in their assigned stalls, between 4 hours and 6 hours before scheduled post time of the race in which the horse is entered, as determined by the Board. Failure to have a horse in the assigned stall at the designated deadline shall result in the horse being scratched, and the trainer of record shall be subject to a fine not less than \$200 and not more than \$500. The fine may be waived if the Stewards determine that a verifiable emergency (for example, inclement weather, medical emergency or trainer vehicle breakdown or accident) prevented the trainer from getting the horse to the racetrack at the designated deadline. The trainer shall submit appropriate written documentation of the emergency as determined by the

ILLINOIS RACING BOARD NOTCIE OF ADOPTED RULES

Stewards (for example, emergency room report, towing or repair bill or police report). Except for warm-up scores, no horse shall leave the paddock until called to post.

- b) Persons entitled to admission to the paddock are:
 - 1) Owners of horses competing on the date of the race.
 - 2) Trainers of horses competing on the date of the race.
 - 3) Drivers of horses competing on the date of the race.
 - 4) Grooms and caretakers of horses competing on the date of the race.
 - 5) Officials whose duties require their presence in the paddock or receiving barn.
- c) No more than 2 members of a registered stable, other than the driver, shall be entitled to admission to the paddock on any racing day.

(amended effective May 25, 2017)

Section 1313.80 Body Alcohol Testing

Body alcohol testing of sulky drivers shall be conducted in accordance with the Illinois Racing Board Rules and Regulations.

Section 1313.100 Firearms

No person, except track security personnel and law enforcement officials while engaged in the performance of their official duties, shall possess or discharge any fire arm within any race track enclosure.

Section 1313.110 Private Practice Prohibited

No veterinarians designated as officials at any race meeting shall practice their profession upon the grounds at such race meeting without special permission from the Board, except however, such veterinarian may act in case of an emergency and only for so long as such emergency may exist.

SOURCE: Published in Rules and Regulations of Harness Racing, (original date not cited in publication); passed July 11, 1972; amended April 13, 1973; amended June 11, 1973; amended October 25, 1973, filed December 17, 1973 codified at 5 Ill. Reg. 10937; amended

ILLINOIS RACING BOARD NOTCIE OF ADOPTED RULES

at 11 Ill. Reg. 14816, effective August 24, 1987; amended at 11 Ill. Reg. 20205, effective December 31, 1987; amended at 18 Ill. Reg. 15442, effective September 30, 1994; emergency amendment at 28 Ill. Reg. 5713, effective March 22, 2004, for a maximum of 150 days, emergency expire August 20, 2004; amended at 28 Ill. Reg. 12119, effective August 22, 2004; amended at 29 Ill. Reg. 19688, effective December 1, 2005; amended at 31 Ill. Reg. 16519, effective December 1, 2007; amended at 33 Ill. Reg. 11890, effective August 1, 2009; amended at 41 Ill. Reg. ______, effective May 25, 2017.