ILLINOIS REGISTER

ILLINOIS RACING BOARD NOTICE OF ADOPTED RULES

PART 1424 REGULATIONS FOR MEETINGS

Section

- 1424.10 Illinois Racing Board Right of Entry
- 1424.20 Office for Racing Board
- 1424.40 Inspections and Searches (Repealed)
- 1424.45 Investigative Authority
- 1424.50 Allocation of Stalls
- 1424.55 AGID (Coggins) Test (Repealed)
- 1424.60 Distance Poles
- 1424.70 Arrivals, Departures and Stabling
- 1424.80 Departure Slips
- 1424.90 Horse Ambulance
- 1424.110 Extra Races
- 1424.120 Clockers
- 1424.125 Outriders
- 1424.140 Safety Rails
- 1424.150 Backstretch Paging System
- 1424.160 Camera
- 1424.170 Emergency Medical Services
- 1424.180 Policing of Premises
- 1424.190 Stable Area Security
- 1424.200 Stable Area Security
- 1424.210 Security Reports
- 1424.220 Night Patrol
- 1424.230 Telephones
- 1424.250 Races for Illinois Horses
- 1424.260 Breeder Awards
- 1424.270 Admission to Parts of Premises
- 1424.280 Stable Areas Fenced
- 1424.290 Merchandise Selling
- 1424.300 Tip Sheets
- 1424.310 Alcoholic Beverages
- 1424.320 Jockey Quarters
- 1424.330 Water Supply and Washrooms
- 1424.340 Drug Vendors
- 1424.350 Seven Day Rule
- 1424.353 Penalty for Violation of Rules
- 1424.355 Stall Availability Prior to Meet
- 1424.360 Notification of Change
- 1424.370 Posting of Jockey Accident Insurance Coverage

Section 1424.10 Illinois Racing Board Right of Entry

All members of the Illinois Racing Board and its representatives shall have the right of entry at any and all points and places on the grounds and at the buildings of the operator.

Section 1424.20 Office for Racing Board

Each operator shall provide within its grounds a suitable office for the use of the members of the Illinois Racing Board or any of its representatives.

Section 1424.40 Inspections and Searches (Repealed)

Section 1424.45 Investigative Authority

The Chief Investigator of the Illinois Racing Board and Special Agents of the Illinois Bureau of Investigation, or other designees of the Department of Law Enforcement assigned to assist the Chief Investigator, shall have the authority to conduct investigations concerning all matters within the jurisdiction of the Illinois Racing Board.

Section 1424.50 Allocation of Stalls

- a) No race track operator shall allocate stalls except pursuant to a written stall application and agreement, the form of which shall have been approved by the Board. The racing secretary shall be responsible for stall allocation unless some other official is substituted by the race track operator to perform such function and the Board notified thereof.
- b) The racing secretary shall give each applicant written notice specifying whether his application, with respect to each stall requested, has been accepted, denied or placed in a pending status. Such notice shall be given not later than five days prior to the start of the meeting or ten days after receipt of the stall application, whichever shall be last to occur.
- c) Within ten days after initial notification is required by this rule, final action shall be taken and written notice thereof given with respect to any stall application originally placed, in whole or in part, in a pending status.
- d) The racing secretary's view of the best interests of racing and of the meeting in question shall govern his actions on stall applications, and he shall be allowed broad discretion in performing this function. Nevertheless:
 - 1) No action on a stall application shall be based upon --
 - A) the race, color, creed, religion, national origin or sex of the applicant, or
 - B) an arbitrary or capricious decision, or a decision not related to any reasonable view of the best interests of racing or of the meeting in question.

- 2) No stall allocation shall be conditioned upon the payment, transfer or delivery to the racing secretary, or to any other person designated by him, of any money, property or other thing of value or upon the applicant's promise to make such payment, transfer or delivery.
- e) Any owner, trainer or other person believing himself to be aggrieved by a violation of this rule may file a written complaint with the Board which shall conduct a hearing with respect to the alleged violation. If the Board determines that such a violation has occurred, it may impose a fine upon the race track operator not to exceed \$500 for each violation, may order that stalls be allocated to the complainant and may take such other action as it deems appropriate. In order to facilitate the conduct of inquiries into alleged violations of this rule, the racing secretary shall cause all stall applications, stall agreements, correspondence and other related material to be retained until the close of the meeting or for such longer period as the Board may direct.
- f) In passing upon stall applications that are of substantially equal merit, the racing secretary shall give preference to applications submitted with respect to horses owned by Illinois residents.
- g) Acceptance of an application for stall space for any horse shall be conditioned upon proof of a negative AGID (Coggins) test for equine infectious anemia.

Section 1424.60 Distance Poles

All distance poles shall be of standard color and designations, namely:

Quarter poles---red and white, Eighth pole---green and white, and Sixteenth poles---black and white

Section 1424.70 Arrivals, Departure and Stabling

- a) All horses arriving at or leaving a race meeting must be registered at the gate with a gateman or platform attendant, complete information regarding such arrival or departure to be set forth on a printed form.
- b) Any horse racing at a licensed meeting must be stabled within the confines of that track; provided, however, in case of necessity, such horse may be stabled within the confines of an adjacent Illinois race track or in another location approved by the Board. The operator holding the meeting shall provide a receiving barn and temporary stabling for horses eligible to race, which are brought to the races from approved outside stable space.
- c) Race track operators shall number each stall where horses are quartered.

Section 1424.80 Departure Slips

A departure slip must be signed in duplicate by a steward or his duly authorized representative, one being held by the gateman and one by the stewards' office before horses can be moved off grounds.

Section 1424.90 Horse Ambulance

There shall be a horse ambulance at all race tracks under the jurisdiction of the Board for the safe and expedient removal of crippled animals from the track. Horse ambulances must be equipped with a screen for use when an animal must be destroyed in view of the general public, a winch to lift dead or injured animals on to the ambulance, and a removable floor or other satisfactory device for the safe loading of a recumbent horse. Drugs for use by the State Veterinarians, when emergency medication is required, shall be stored in a locked box under their continuous, direct supervision.

Section 1424.110 Extra Races

No additional races on a racing date will be permitted without the written approval of the Secretary of the Board, and subject to conditions by the Board.

Section 1424.120 Clockers

- a) The operator shall employ an experienced clocker or clockers, approved by the stewards, who shall in the line of his or their duties, keep an actual record of all workouts, trials, gallops and speed tests, or any "morning races" on the track of said operator or on any track used as a training place for horses eligible to be entered at said race meeting, and said clocker shall, without delay, present his records to the handicapper or racing secretary and a copy thereof shall be delivered into the possession of the stewards; the racing secretary shall have a true copy of said records immediately available to all newspapers and recognized publications for the purpose of disseminating said information.
- b) All trainers desiring to work out a horse must identify the horse by name to such clocker or clockers or his representative and give the distance of the work out. No horse and rider shall enter the track who has not provided the stated information. Horsemen improperly identifying horses coming onto the track shall be subject to fine and/or suspension by the stewards.

Section 1424.125 Outriders

The operators shall furnish a licensed outrider who is to be on duty and suitably mounted each day during the hours when main tracks are open for exercising horses.

Section 1424.140 Safety Rails

All thoroughbred organizations shall install interior rails designed to endure the safety of jockeys and horses and which are suitable to the Board. Any gooseneck rail shall have an adequate covering. Suitable rails and adequate covering include, but are not limited to, rails and coverings that will maintain the weight of a thoroughbred race horse and jockey, that will not splinter or crack and that do not become brittle, hard or decayed over time when exposed to various weather conditions.

Section 1424.150 Backstretch Paging System

Each organization shall have in place a suitable backstretch paging system. A suitable backstretch paging system includes, but is not limited to, a system which has adequate equipment to reach all areas of the backside.

Section 1424.160 Camera

- a) All operators shall take and make at their own expense a complete recording of all races run. The number and location of recording stations, the type of equipment used and the quality of the image must be satisfactory to the state steward. The state steward shall certify to the Board three days prior to the opening of any meeting, in writing, that he approved of the recording system, and believes it to be adequate. No system shall be so certified unless it can deliver the recording of the race within two minutes of the finish of that race.
- b) These recordings shall be under the control of the state steward and shall not be shown to other persons without his permission.
- c) Operators shall hold all recordings from one year from the final date of the race meeting at which they were made. Operators shall hold for five years all recordings in which there is an infraction or claim of foul and such other recordings as the state steward shall designate.
- d) In order to educate and protect the jockeys, operators shall provide facilities where recordings may be shown to the jockeys. Under the supervision of the stewards, recordings of the previous day's racing shall be shown each racing day to all jockeys riding in the previous day's program.

Section 1424.170 Emergency Medical Services

Each organization licensee shall submit its emergency medical services plan to the Board, for the Board's approval, thirty (30) days prior to the start of its meet. The plan shall include all information relative to emergency medical services to be provided to racing participants and patrons, including but not limited to the name of any resource hospitals, agreements with any ambulance services (private and municipal), and the number and certification level of all emergency medical technicians. In approving an emergency medical service plan the Board shall consider the proximity of the racetrack to its resource

hospital, the size of the racetrack, and the type of racing to be conducted at the racetrack. Each plan shall also be certified by the Illinois Department of Public Health, Division of Emergency Medical Services.

Section 1424.180 Policing of Premises

The race track operator shall provide a sufficient number of guards and also watchmen to maintain order on all parts of the racing enclosure. No tipsters shall be allowed on any part of the licensed premises. No groom or stable attendant shall loiter in the betting ring or any place else with the evident intention of making tips for remunerations or for free. Anyone so found shall be brought to the stewards and his identification shall be taken up. Said offender will then be excluded from the premises. A written report shall be made by the stewards to said offender's employer; any employer continuing to harbor or employ any such person so reported, will be suspended, at the discretion of the stewards.

Section 1424.190 Stable Area Security

Each track operator shall furnish gatemen and night watchmen for all stable enclosures. The operator shall furnish to the state steward a complete tabulation showing name, duty, place stationed and portions of enclosures supervised by such gatemen and night watchmen. In the event horses are stabled outside the race track, that are eligible to race at a meeting of the race track operator, the same precautions and reports shall apply.

Section 1424.200 Stable Area Security

The race track operator shall also employ a sufficient number of guards to patrol the stable areas and make investigations.

Section 1424.210 Security Reports

Every person in charge of security at each race track shall submit a report each day of all incidents involving arrests and ejections, and all information relating to any criminal conduct, suspected or real, or any violation of the Rules and Regulations of the Illinois Racing Board. Such report shall be submitted to the Illinois Racing Board, the State Steward, and the Chief Investigator of the Illinois Racing Board. At the discretion of the person in charge of security, matters of a confidential nature may be designated confidential and reported separately to the Chief Investigator for investigative purposes.

Section 1424.220 Night Patrol

A nightly report shall also be given by the officer in charge of the night force stating in detail any disturbances, drunkenness, or disorderly conduct in and about the backstretch and stable area, giving in detail the names, badge numbers and license numbers of any person committing any offense whatsoever.

Section 1424.230 Telephones

Telephone use shall be disallowed in the paddock areas, except under direct supervision of the Board or its delegates.

Section 1424.250 Races for Illinois Horses

- a) Organization licensees shall provide at least two races each day limited to Illinois conceived and foaled horses or Illinois foaled horses or both by scheduling at least two such races each day in their condition books. At least one of such races shall be a regularly scheduled race and not a substitute or extra race.
- b) Organization licensees shall make every effort to conduct at least one Illinois conceived and foaled or Illinois foaled race each day. If however such race fails to fill, the organization licensee shall make up this deficiency within four racing days. Provided further that in the event that an organization licensee has conducted more than one Illinois conceived and foaled or Illinois foaled race per day during the preceding six racing days, said licensee will not be required to make up for a day in which it was unable to fill an Illinois conceived and foaled or Illinois foaled race. Whenever a race for Illinois conceived and foaled horses or Both is eliminated for any one day, the Racing Secretary shall make a written report to the Board.
- c) Each proper Illinois foaled or Illinois conceived and foaled race which the licensee fails to hold or make up shall be a separate and distinct violation of this rule and of Section 30(c) of the Illinois Horse Racing Act of 1975 (Ill. Rev. Stat. 1981, Ch.8, par. 37-30(c))
- d) The purse value for an Illinois foaled or Illinois conceived and foaled race shall be no less than the purse value provided for races for open competition horses of the same class running under similar conditions.
- e) Within seven days after the commencement of any racing meeting, the racing secretary shall prepare and maintain for inspection by the Board a record of all Illinois foaled and Illinois conceived and foaled horses occupying stalls at the track at which the licensee is conducting its meeting. The list shall include information as to the age, sex, quality, and racing classification (e.g., Maiden, etc.) of each horse and the sire and dam of each horse.
- f) In addition to the other requirements of this rule, each licensee shall use its best efforts to schedule lead up races and races for the better class horses, provided that each licensee shall schedule no less than the following races each week for Illinois foaled or Illinois conceived and foaled horses:

ILLINOIS REGISTER ILLINOIS RACING BOARD NOTICE OF ADOPTED RULES

- 1) After June 1st of each year, one race for 2 year-old Maidens (Allowance Race).
- 2) One race for 3 year-old and up Maidens (Allowance Race).
- 3) One race for 3 year-old and up Maidens and winners of one race (Winners preferred) (Allowance Race).
- 4) After July 1st of each year, one race for 2 year-old Maidens and winners of one race (Winners preferred) (Allowance Race).

Section 1424.260 Breeder Awards

Section 37(j-10) of the Illinois Horse Racing Act is incorporated in these rules, which section reads in part as follows:

"Provided further, that, to encourage the breeding of horses in the State of Illinois, a sum equal to 10 per cent of the winning purse of every race won by an "Illinois bred" horse shall be paid by the operator conducting the horse racing meeting to the breeder of the animal. The amount so paid to any breeder shall be taken from the operator's share of the money wagered and shall in no event reduce the amount of privilege taxes payable under this act. The amount so paid by the operator shall be paid at the end of each racing meet. An "Illinois bred" horse within the meaning of this section is deemed to be a foal dropped in the State of Illinois. The "breeder" as used herein, means the owner of the mare at time foal is dropped. The Illinois Racing Board shall provide for the registration of all "Illinois bred" horses. No horse shall be allowed to compete in an "Illinois bred race" unless the horse is so registered."

(Editor's Note: Quoted language has been repealed. See Section 30(j) of Illinois Racing Act of 1975 (Ill. Rev. Stat. 1979, Ch.8, par. 37-30(j))

Section 1424.270 Admission to Parts of Premises

- a) Only the following listed persons shall be entitled to enter the stable area of a race track operator: members, officers and employees of the Board who must show their identification cards; management and employees performing duties therein; racing officials; police officers; owners, trainers, grooms and other performing duties in the stable area and who possess a valid license from the Board.
- b) Except that the race track operator may authorize the entry of other persons, subject to the following minimum conditions:
 - 1) that such authorized persons be required to sign a daily guest log, and record the time of entry and the time of departure;

- 2) that such authorized persons be required to indicate the name of the person whom they are visiting.
- c) The race track operator shall adopt such rules and procedures as deemed necessary to protect the security of the stable area and to prevent the entry of unauthorized persons to that portion of the premises.
- d) Any person violating this rule shall be evicted from the licensed premises by the race track operator and thereafter denied admission as a patron or otherwise to any portion of the licensed premises.

Section 1424.280 Stable Areas Fenced

All such stable enclosures must be properly fenced and admission granted only on proper license or credentials actually shown to the gateman.

Section 1424.290 Merchandise Selling

The operator shall supervise the practice and methods of so-called merchandise peddlers who may have entry to the track enclosure to solicit among the employees engaged at the track; and such supervision shall be extended to any other tracks where horses are lodged who may be eligible to race at said meeting; provided however, that the operator shall not by virtue of this rule or otherwise restrict the open purchasing or attempt to control or monopolize said business or proper selling of merchandise to owners, trainers or stable employees.

Section 1424.300 Tip Sheets

Unless authorized by the operator and by the Board, no printed material dealing with odds, horses or the races, shall be sold, peddled or given away within any part of the race track of said operator, its entrances, approaches or places within its control. The operator shall not grant permission to any tip sheet, pamphlet or other publication making false or unwarranted representations. Nothing herein contained shall be construed as applicable to any newspaper, periodical, weekly, or monthly magazine of general circulation.

Section 1424.310 Alcoholic Beverages

- a) No operator shall sell or permit to be sold on its backstretch, or in any other area used by it for the stabling of horses, any alcoholic beverages, beer excepted.
- b) No operator shall permit into or in its stabling area or in any other area used by it, any person under the influence of alcohol.

Section 1424.320 Jockey Quarters

Each operator shall pay particular care to the cleanliness, sanitation and the appearance of the jockeys' quarters to the end that they may be safe, sanitary and habitable at all times. Section 1424.330 Water Supply and Washrooms

The operator shall furnish an adequate number of free drinking water fountains, comfort stations and washrooms throughout its grounds and buildings for the use of the public.

Section 1424.340 Drug Vendors

Every distributor or vendor of drugs or medication within the confines of a race track must register in writing with the Board.

Section 1424.350 Seven Day Rule

The race track operators, officials, horsemen, owners, trainers, jockeys, agents, grooms, platers, valets and all licensees, who have accepted with reasonable advance notice thereof the conditions under which a race meeting is planned to be conducted, shall, before they terminate or discontinue their employment, engagements or activities under such conditions, notify the Board and the respective interested persons, or operators at least seven days before such termination or discontinuance. The Board shall, upon notice to the parties in interest, conduct a hearing or hearings with respect to the matters involved. If the Board shall find that the cause of the termination or discontinuance of employment, engagements or activities is unreasonable, unlawful or contrary to the Rules and Regulations of the Board, or detrimental to the public interest or against the best interest of horse racing, or that the proper notice herein provided has not been given, it shall so advise all parties in interest and shall take such action against any offending parties as the Board in its discretion deems suitable. If the Board finds that the causes are reasonable. lawful and not contrary to the Rules and Regulations of the board, and are not detrimental to the public interest or to the best interests of racing, and that proper notice has been served, the Board shall so advise all parties in interest and shall use its best efforts to settle and disputes between said parties.

Section 1424.353 Penalty for Violation of Rules

Any race track operator who violates any provision of the Rules and Regulations of Horse Racing or of the Illinois Horse Racing Act may be fined no more than \$5000.00 for each such violation and/or may have said operator's license to conduct a horse racing meeting suspended or revoked.

Section 1424.355 Stall Availability Prior to Meet

Those applicants for racing dates which are allotted the first meetings for the thoroughbred racing season in any year, as a condition to their receiving their licenses to conduct racing meetings, shall make available to those horsemen who are to participate in such first meetings, and their horses, the backstretch and racing facilities at the race track at which

such first meeting is to be conducted no less than three weeks prior to the commencement of such first meeting in the case of race tracks located within Cook County and no less than six weeks prior to the commencement of such first meeting in the case of race tracks located outside Cook County.

Section 1424.360 Notification of Change

- a) Each organization licensee shall have the authority to change its hours of operation if the hours are different than provided in the licensee's racing dates application subject to notification to the Board. The notification shall be made in writing and submitted to the Board's central office at least 30 days prior to the anticipated change of hours of operation.
- b) Each organization licensee shall have the authority to change prices charged for goods and services subject to notification to the Board. Goods and services shall include, but not be limited to, admission prices, parking fees and official racing programs and shall exclude food, beverages, and merchandise. The notification shall be made in writing and submitted to the Board's central office at least 30 days prior to the anticipated change.
- c) Each organization licensee shall have the authority to change prices charged for wagering products (subject to Sections 26 and 26.2 of the Act) subject to notification to the Board. Wagering products shall include, but not be limited to, take-out or retention rates and minimum wager amounts. The notification shall be made in writing and submitted to the Board's central office at least 30 days prior to the anticipated change.
- d) The Board may disapprove of any business practices by organization licensees identified in subsection (a), (b) or (c) if the Executive Director finds that such practices are detrimental to the public interest based upon industry standards (e.g. a national survey of comparable race tracks) or opposition from the public or interested parties. [230 ILCS 5/20.1]

Section 1424.370 Posting of Jockey Accident Insurance Coverage

- a) Each organization licensee shall have on file with the Board a copy of the actual insurance policy and shall post in the jockeys' room a summary of the licensee's on-track insurance coverage for jockeys who are injured while acting in the performance of their duties as a jockey on the grounds of the licensee. Upon request, the licensee shall provide a copy of the insurance policy to any licensed jockey participating in the race meeting. Requests shall be made in writing to the licensee's General Manager.
- b) In the event the insurance policy is changed during a race meeting, the licensee shall promptly post notice in the jockeys' room of any changes.

SOURCE: Published in Rules and Regulations of Horse Racing (original date not cited in

ILLINOIS REGISTER

ILLINOIS RACING BOARD NOTICE OF ADOPTED RULES

publication); added October 25, 1973, filed November 26, 1973; added August 8, 1973; amended February 15, 1974, filed February 28, 1974; amended April 11, 1974, filed April 30, 1974; amended July 12, 1974, filed July 22, 1974; amended October 25, 1974, filed November 7, 1974; amended March 14, 1975, filed and effective March 27, 1975; amended May 9, 1975, filed May 15, 1975; amended June 19, 1976, filed June 25, 1976; amended December 9, 1977, filed December 29, 1977; amended at 4 Ill. Reg. 41, p. 164, effective September 26, 1980; codified at 5 Ill. Reg. 10996; amended at 8 Ill. Reg. 12460, effective June 27, 1984; amended at 9 Ill. Reg. 9166, effective May 30, 1985; amended at 14 Ill. Reg. 20545, effective December 7, 1990; amended at 16 Ill. Reg. 7493, effective April 24, 1992; amended at 16 Ill. Reg. 11193, effective June 25, 1992; amended at 17 Ill. Reg. 3038, effective February 23, 1993; emergency amendment at 23 Ill. Reg. 7779, effective June 28, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 13943, effective November 2, 1999; amended at 27 Ill. Reg. 7226, effective April 19, 2004; amended at 31 Ill. Reg. 16536, effective December 1, 2007; amended at 32 Ill. Reg. 16502, effective October 1, 2008; amended at 36 Ill. Reg. 12824, effective August 1, 2012; amended at 41 Ill. Reg. _____, effective April 7, 2017.