### **ILLINOIS RACING BOARD**

### NOTICE OF ADOPTED AMENDMENT

- 1) Heading of the Part: Licensing
- 2) <u>Code Citation</u>: 11 Ill. Adm. Code 502
- 3) <u>Section Number:</u> <u>Adopted Action:</u> 502.500 Amendment
- 4) Statutory Authority: 230 ILCS 5/9(b)
- 5) Effective Date of Rule: November 1, 2018
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) <u>Does this rulemaking contain incorporations by reference</u>? No
- A copy of the adopted amendments, including any material incorporated by reference, is on file in the agency's central office and is available for public inspection.
- 9) Notice of Proposal Published in the *Illinois Register*: 42 Ill. Reg. 14115, July 20, 2018
- 10) Has JCAR issued a Statement of Objections to this rule? No
- 11) Differences between Proposal and Final Version: No changes were made
- Have all the changes agreed upon by the agency and JCAR been made as indicated in the letter issued by JCAR? No changes were requested by JCAR
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: The Association of Racing Commissioners International (ARCI) model rule governing jockey agents states that "a jockey agent may serve as agent for no more than two jockeys and one apprentice jockey." Board rule 502.500 (Jockey Agents) currently allows a jockey agent to represent no more than two jockeys. This proposed rulemaking amends the current rule by expanding it from two jockeys to also including an apprentice jockey.
- 16) <u>Information and questions regarding this adopted rule shall be directed to:</u>

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Mickey Ezzo Illinois Racing Board 100 West Randolph, Suite 5-700 Chicago, Illinois 60601

(312) 814-5017 Mickey.ezzo@illinois.gov

The full text of the Adopted Amendment begins on the next page:

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TITLE 11: ALCOHOL, HORSE RACING, LOTTERY, AND VIDEO GAMING
SUBTITLE B: HORSE RACING
CHAPTER I: ILLINOIS RACING BOARD
SUBCHAPTER c: RULES APPLICABLE TO ALL OCCUPATION LICENSEES

## PART 502 LICENSING

## SUBPART A: PROCEDURE

Submission of Application

Complete Application

Section

502.10

502.20

502.30	License Fees
502.40	Duration and Extent of Occupation Licenses
502.50	Rulings and Hearings
502.55	Denial of License
502.58	License to Participate
	SUBPART B: STATUTORY GROUNDS FOR DENIAL OF A LICENSE
Section	
502.60	Denial of a License for Criminal Conviction
502.72	First-Time Applicant Who Has Been Convicted of a Crime
502.76	Prohibitions Against Persons on Conditional Discharge, Parole, Probation or
	Supervision
502.78	Probationary Nature of Licenses
502.80	Unqualified to Perform the Duties
502.90	Falsifying Answers or Omitting Facts
502.100	Reciprocity
502.102	Burden of Going Forward
502.104	Denial of a License for Just Cause in Illinois or in Another Racing Jurisdiction
	SUBPART C: GENERAL CRITERIA
Section 502.110 502.115	Criteria for Determining Eligibility Standards Required of All Applicants

SUBPART D: OWNERS

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Section 502.120	Owners
	SUBPART E: TRAINERS AND ASSISTANT TRAINERS
Section 502.200 502.210 502.220	Trainers and Assistant Trainers Prospective Trainers or Assistant Trainers Workers' Compensation
	SUBPART F: JOCKEYS AND APPRENTICE JOCKEYS
Section 502.230 502.235 502.238	Jockeys and Apprentice Jockeys Apprentice Jockeys, Criteria for Eligibility Apprentice Contract or Certificate
	SUBPART G: DRIVERS
Section 502.250 502.260 502.270 502.280 502.290	Harness Driver Prospective Harness Drivers "Q" Licenses "P" Licenses "A" Licenses
	SUBPART H: OTHER LICENSEES
Section 502.300 502.320 502.350 502.380 502.400 502.450 502.500 502.600 502.650	Veterinarians Veterinary Assistant Farriers (Blacksmiths) Exercise Riders Pony Person Stable Foreman Jockey Agents Authorized Agents Tack Shop Operators and Other Vendors
502.660 502.680	Vendor Helper Thoroughbred Grooms

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502.690	Harness Grooms
502.700	Hotwalker
502.790	Totalizator Employee
502.795	<b>Business Agents</b>

### SUBPART I: CONFLICTS OF INTEREST

Section	
502.800	<b>General Provisions</b>
502.820	Dual Licensing
502.830	Limitations on License
502.840	Husbands and Wives
502.850	Transfer of a Horse

AUTHORITY: Authorized by Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5].

SOURCE: Emergency rule adopted and codified at 6 Ill. Reg. 9711, effective July 27, 1982, for a maximum of 150 days; adopted and codified at 6 Ill. Reg. 13786, effective October 25, 1982; amended at 7 Ill. Reg. 5225, effective April 1, 1983; amended at 11 Ill. Reg. 20611, effective January 1, 1988; amended at 13 Ill. Reg. 1562, effective January 23, 1989; amended at 13 Ill. Reg. 4931, effective March 22, 1989; amended at 14 Ill. Reg. 17641, effective October 16, 1990; amended at 15 Ill. Reg. 11985, effective August 12, 1991; amended at 16 Ill. Reg. 12774, effective July 31, 1992; amended at 17 Ill. Reg. 19961, effective November 9, 1993; amended at 18 Ill. Reg. 11615, effective July 7, 1994; amended at 19 Ill. Reg. 5034, effective April 1, 1995; amended at 19 Ill. Reg. 17190, effective January 1, 1996; amended at 20 Ill. Reg. 13052, effective October 1, 1996; amended at 22 Ill. Reg. 10656, effective June 1, 1998; amended at 28 Ill. Reg. 11244, effective August 1, 2004; amended at 29 Ill. Reg. 10248, effective August 1, 2005; amended at 32 Ill. Reg. 7391, effective May 1, 2008; amended at 33 Ill. Reg. 6696, effective May 1, 2009; emergency amendment at 37 Ill. Reg. 19740, effective November 20, 2013, for a maximum of 150 days; amended at 38 Ill. Reg. 6113, effective March 1, 2014; amended at 41 Ill. Reg. 12860, effective October 1, 2017; amended at 41 Ill. Reg. 13097, effective October 1, 2017; amended at 42 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

### Section 502.500 Jockey Agents

a) An application for a license as a jockey agent may be obtained by the following persons: An applicant for a jockey agent's license shall have been licensed previously as a jockey agent by the Board or by another racing jurisdiction, and shall not represent more than two jockeys.

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- 1) Previously Board licensed jockey agent;
- 2) Person previously licensed by another racing jurisdiction as a jockey agent;
- Board occupation licensee with a minimum year (365 days) of experience who obtains a passing grade of 75% or more on the stewards' written examination. The stewards will administer all written exams, which include subjects such as jockey engagements and horse eligibility.
- A jockey agent may represent a maximum of two jockeys and one apprentice jockey. If the applicant has never been licensed as a jockey agent, the applicant shall have at least one year's experience as an occupation licensee or an employee of the Board in Illinois or a comparable racing regulatory authority in another racing jurisdiction, and shall pass with a grade of 75% a written examination administered by the stewards. The test shall cover such subjects as jockey engagements and horse eligibility.