

ILLINOIS REGISTER

ILLINOIS RACING BOARD

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Totalizator Operations
- 2) Code Citation: 11 Ill. Adm. Code 433
- 3)

<u>Section Numbers</u> :	<u>Proposed Actions</u> :
433.60	Amendment
433.100	Amendment
433.130	Amendment
- 4) Statutory Authority: Authorized by Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5/9(b)].
- 5) Effective Date of Rule: immediate upon adoption
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted amendments, including any material incorporated by reference, is on file in the agency's central office and is available for public inspection.
- 9) Notice of Proposal Published in the *Illinois Register*: 48 Ill. Reg. 8432; June 14, 2024
- 10) Has JCAR issued a Statement of Objections to this rule? No
- 11) Differences between Proposal and Final Version: No changes were made.
- 12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the letter issued by JCAR? Yes
- 13) Will this proposed rulemaking replace any emergency rule currently in effect? No
- 14) Are there any other rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: The amendments to Sec 433 allows for computer records of scanned tickets to be kept as an alternative to marking and retaining the physical ticket by the licensee. The intent of this amendment is to update the Illinois Administrative Rules to permit totalizator companies to utilize machines with modernized technology.

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- 16) Information and questions regarding this adopted rule shall be directed to:

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The full text of the Adopted Amendments begins on the next page.

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TITLE 11: ALCOHOL, HORSE RACING, LOTTERY, AND VIDEO GAMING

SUBTITLE B: HORSE RACING

CHAPTER I: ILLINOIS RACING BOARD

SUBCHAPTER b: RULES APPLICABLE TO ORGANIZATION LICENSEES

PART 433

TOTALIZATOR OPERATIONS

SUBPART A: DEFINITIONS AND GENERAL PROVISIONS

Section

433.10	Definitions
433.15	Purpose
433.20	Pari-Mutuel Audit Unit
433.25	Access to Totalizator and Pari-Mutuel Facility
433.30	Work Area for Pari-Mutuel Auditors
433.35	System Failure
433.45	Scientific Advancements
433.50	Filings
433.55	Standards

SUBPART B: PROCEDURES AND REPORTS REQUIRED OF
ORGANIZATION LICENSEES

Section

433.60	Cashed Tickets
433.70	Summary of Pari-Mutuel Operations (Repealed)

SUBPART C: MUTUEL TICKETS

Section

433.100	Marking of Tickets
433.110	Status of Outs Account
433.120	Cancellation of Tickets
433.130	Records of Refunds and Cancellations
433.140	Computer Print-Outs
433.145	Additional Method of Calculation

SUBPART D: MUTUEL FACILITIES; TICKETS; SPECIFICATION
REQUIREMENTS AND PROCEDURES

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Section

433.200	No Reduction in Capacity
433.210	Totalizators
433.220	Final Confirmation
433.230	Status Report
433.240	Locking Devices
433.250	Control of Locking Devices
433.260	Accounting for Individual Tickets
433.270	Tickets
433.280	Security for Tote Equipment
433.290	Access to Tote Room
433.295	Fax Machine
433.298	Hot-Line Telephone

SUBPART E: TOTALIZATOR SYSTEM: SYSTEM REQUIREMENTS

Section

433.300	General System Requirements
433.310	Redundant Capabilities
433.320	Redundant Hardware
433.330	Stop Betting Command
433.340	Record of Stop Betting Command
433.350	Odds Board Control
433.360	Odds Update
433.370	Retention of Racing Program Data
433.380	Control Access to Tote Computer Equipment
433.390	Software
433.400	Provide Summary
433.410	Unique Ticket Number
433.420	Uncashed Tickets
433.430	Computer Produced Reports
433.440	Magnetic Log Files
433.450	Security Sub-System
433.455	Access to Sub-Systems
433.458	Emergency Power Source
433.460	Power Fluctuations
433.470	Two Independent Sets of Pool Totals
433.480	Loss of Communications Reports
433.490	Cancellations

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SUBPART F: TOTALIZATOR SYSTEM: PROCEDURAL REQUIREMENTS

Section	
433.500	General Procedural Requirements
433.510	Pre-Program Tests
433.520	Totalizator Programs
433.530	Duplicate Copy of Totalizator Programs
433.540	Notice of Software Modifications
433.550	Testing of Software Modifications
433.560	Controlling System Utilities
433.570	Access to Tote Room
433.580	Control Log
433.600	Back-Up Procedures
433.610	Shut-Down Procedures

AUTHORITY: Authorized by Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5].

SOURCE: Adopted at 11 Ill. Reg. 12380, effective July 18, 1987; amended at 14 Ill. Reg. 20059, effective December 4, 1990; amended at 15 Ill. Reg. 2736, effective February 5, 1991; amended at 16 Ill. Reg. 20171, effective December 9, 1992; amended at 18 Ill. Reg. 7443, effective May 8, 1994; amended at 19 Ill. Reg. 13963, effective October 1, 1995; amended at 30 Ill. Reg. 1372, effective February 1, 2006; amended at 48 Ill. Reg. 18254, effective Dec 12 2024.

SUBPART B: PROCEDURES AND REPORTS REQUIRED OF ORGANIZATION LICENSEES

Section 433.60 Cashed Tickets

Each organization licensee shall maintain facilities and enforce procedures which ensure the security of cashed tickets and the integrity of outstanding ticket records. Such security requirements shall include, but not be limited to, the following:

- a) ~~Access of all totalizator employees to cashed tickets storage areas shall be prohibited.~~
- b) ~~Cashed tickets shall be maintained in a two hour fire resistant facility unless other comparable fire protection is installed and operable.~~

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- ae) Cashed and cancelled tickets or computer records shall be maintained for 60 days unless the PMA or the stewards request the organization licensee, in writing, for an extension for the purpose of conducting an investigation.
- bd) Cashed ticket storage facilities shall be secured by a locking system. Access to the locked cashed ticket storage facilities is the sole responsibility of the mutuel manager.
- ce) Only the mutuel manager or the manager's designee shall have access to cashed tickets, storage areas for outstanding ticket records, and uncashed ticket records.
- df) Access to ~~magnetic log tapes and the~~ computer ~~records~~log library tapes by the mutuel manager and employees of the mutuel department~~Mutuel Department~~ shall be prohibited, except for the computer operator and assistance operator, but this prohibition shall not be interpreted to deny the mutuel manager and the manager's employees from accessing~~access to~~ data generated by such computer record~~stapes~~. If the totalizator system licensee does not operate in Illinois continuously, the possession of the computer records ~~magnetic log tapes and computer log library tapes~~ shall be retained by~~on the premises of~~ the organization licensee for one ~~(1)~~ year.

(Source: Amended at 48 Ill. Reg. 18254, effective Dec 12 2024)

SUBPART C: MUTUEL TICKETS

Section 433.100 Marking of Tickets

To prevent re-entry in the pari-mutuel system for duplicate cashing, each cashed or refunded ticket shall be marked to indicate that it has been cashed or refunded such as tearing a corner in a manner which will not destroy the identity of the ticket, or by computer imprint. If a ticket is not marked, the totalizator licensee shall track the ticket and maintain a computer record of the ticket.

(Source: Amended at 48 Ill. Reg. 18254, effective Dec 12 2024)

Section 433.130 Records of Refunds and Cancellations

In all totalizator systems, the seller/cashier shall retain and account for all refunded and/or

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cancelled tickets. The computer record of these~~These~~ refunded/cancelled tickets will be retained by the organization licensee, in the manner prescribed in Section 433.60. In the case of a request for an extension, as detailed in Section 433.60, the computer records~~tickets~~ shall be retained until all audit tests have been completed and the State Director of Mutuels, or the director's~~his~~ designee, issues a letter allowing for the disposal of such computer~~tickets and~~ records. If a refunded/cancelled ticket is not available for inspection then sufficient supporting documentation, (i.e., seller/cashier history), shall be available upon request. In no event will a refunded/cancel~~de ticket which has been removed from the totalizator~~tote~~ system by a keyboard entry be accepted by the Pari-Mutuel Audit Unit as record of payment unless the actual hard copy ticket is presented during the audit or lost bet report.~~

(Source: Amended at 48 Ill. Reg. 18254, effective Dec 12 2024)